

APR 21 2005

Atty. Docket No. CLI02 P-301

## CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper, together with all enclosures identified herein, is being sent via facsimile to the Commissioner for Patents, at 703/872-9306, on the date indicated below. A total of 3 page(s) should be received.

04-21-05

Date

Shari A. Waller

Shari A. Waller

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit : 3621  
 Applicants : John William Clifton et al.  
 Application No. : 10/815,255  
 Filing Date : April 1, 2004  
 Confirmation No. : 7504  
 For : INDIVIDUAL IDENTITY AUTHENTICATION SYSTEM

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450

Dear Sir:

**FIRST SUPPLEMENTAL INFORMATION DISCLOSURE**  
**STATEMENT UNDER 37 C.F.R. §§1.97(b) and (c)**

Pursuant to 37 C.F.R. §§1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached Form PTO-1449. This First Supplemental Information Disclosure Statement is being filed more than three months after the filing date of the above-referenced application but, to the undersigned's knowledge, before the mailing date of a first Office Action on the merits for the above-referenced application. In the event that a first Office Action on the merits has been mailed, pursuant to 37 C.F.R. §§1.56 and 1.97(c), this First Supplemental Information Disclosure Statement is accompanied by a certification as specified by §1.97(e).

Each item of information contained in this First Supplemental Information Disclosure Statement was first cited in a communication from the United States Patent and Trademark Office in counterpart Application No. 10/437,652 not more than three months prior to the filing of this First Supplemental Information Disclosure Statement.

Applicants : John William Clifton et al.  
Appln. No. : 10/815,255  
Page : 2

As the Office has waived the requirement under 37 CFR §1.98(a)(2)(i) for submitting a copy of each cited U.S. patent, copies of the listed U.S. patents are not included with the submission of attached Form PTO 1449. Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Applicants believe that there is no fee due in connection with the filing of this First Supplemental Information Disclosure Statement. However, if a fee is found to be due, please charge the fee to our Deposit Account No. 16-2463.

Respectfully submitted,

JOHN WILLIAM CLIFTON ET AL.

By: PRICE, HENEVELD, COOPER,  
DEWITT & LITTON

04/21/05  
Date

  
Michael R. Long  
Registration No. 42 808  
695 Kenmoor SE  
P.O. Box 2567  
Grand Rapids, Michigan 49501-2567  
616/949-9610

MRL/saw

Sheet 1 of 1

FORM PTO-1449 (Rev. 2-32)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. CLI02 P-301	SERIAL NO. 10/815,255
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		APPLICANTS John William Clifton et al.	
		FILING DATE April 1, 2004	GROUP 3621

## **U.S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

**OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)**

---

11 of 11

**EXAMINER** \_\_\_\_\_ **DATE CONSIDERED** \_\_\_\_\_

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

---

(Form PTO-1449 [6-4])